R&S®FSH3 Spectrum Analyzer Instrument Security Procedures



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1 Overview

In many cases, it is imperative that the R&S FSH3 Spectrum Analyzers are used in a secured environment. Generally these highly secured environments do not allow any test equipment to leave the area unless it can be proven that no user information leaves with the test equipment. Security concerns can arise when devices need to leave a secured area e.g. to be calibrated or serviced.

This document describes the types of memory and their usage in the R&S FSH3. It provides a statement regarding the volatility of all memory types and specifies the steps required to declassify an instrument through memory clearing or sanitization procedures. These sanitization procedures are designed for customers who need to meet the requirements specified by the US Defense Security Service (DSS).

2 Instrument Models Covered

Table 2-1: Spectrum Analyzer models

Product name	Order number
R&S FSH3 - model 03	1145.5850.03
R&S FSH3 - model 13	1145.5850.13
R&S FSH3 - model 23	1145.5850.23
R&S FSH6 - model 06	1145.5850.06
R&S FSH6 - model 26	1145.5850.26
R&S FSH18 - model 18	1145.5850.18

3 Security Terms and Definitions

Clearing:

As defined in Section 8-301a of DoD 5220.22-M, "National Industrial Security Program Operating Manual (NISPOM)", clearing is the process of eradicating the data on media so that the data can no longer be retrieved using the standard interfaces on the instrument. Hence, clearing is typically used when the instrument is to remain in an environment with an acceptable level of protection.

Sanitization:

As defined in Section 8-301b of DoD 5220.22-M, "National Industrial Security Program Operating Manual (NISPOM)", sanitization is the process of removing or eradicating stored data so that the data cannot be recovered using any known technology. Instrument sanitization is typically required when an instrument is moved from a secure to a non-secure environment, such as when it is returned for service of calibration.

The memory sanitization procedures described in this document are designed for customers who need to meet the requirements specified by the US Defense Security Service (DSS). These requirements are specified in the "Clearing and Sanitization Matrix" in Section 14.1.16 of the ISFO Process Manual for the Certification and Accreditation of Classified Systems under the NISPOM.

Instrument declassification:

A term that refers to procedures that must be undertaken before an instrument can be removed from a secure environment, such as is the case when the instrument is returned for calibration. Declassification procedures include memory sanitization or memory removal, or both. The declassification procedures described in this document are designed to meet the requirements specified in DoD 5220.22-M, "National Industrial Security Program Operating Manual (NISPOM)", Chapter 8.

4 Types of Memory and Information Storage in the R&S FSH3

The Spectrum Analyzer contains various memory components.

The following table provides an overview of the memory components that are part of your instrument. For a detailed description regarding type, size, usage and location, refer to the subsequent sections.

Volatile Memory

Memory type	Size	Content	Volatility	User data	Sanitization procedure
Video - SRAM (main board)	512 kbyte	Display memory (video memory) Screen images	Volatile	Yes	Turn off instrument power and remove the separate power supply unit
Measurement - SRAM (main board)	2 Mbyte	Intermediate measurement data	Volatile	Yes	Turn off instrument power and remove the separate power
board)	256 kbyte	Measurement control data			supply unit
EEPROM (front-end board)	1 kbyte up to 2 kbyte	Hardware information: Serial number Product options Calibration correction data	Non-volatile	No	None required (no user data)
SRAM (main board)	1 Mbyte or 8 Mbyte	Active instrument and measurement setup Active measurement data	Non-volatile	(battery	Master preset proce-
			(battery backup)		(see step 1)
Flash (main board)	4 Mbyte or 8 Mbyte	 Instrument firmware Factory default settings User general settings User data User calibration data Saved user data sets 	Non-volatile	Yes	Master preset procedure (see step 1)

4.1 Volatile Memory

The volatile memory in the instrument loses its contents as soon as power is removed from the instrument. The volatile memory is not a security concern.

Removing power from this memory meets the memory sanitization requirements specified in the "Clearing and Sanitization Matrix" in section 5.2.5.5.5 of the ISFO Process Manual for the Certification and Accreditation of Classified Systems under the NIS-POM.

Video - SRAM

The main board of the R&S FSH3 Spectrum Analyzer has one 512 kbyte SRAM memory device. The SRAM contains the video (display) memory. The Video-SRAM loses its memory as soon as the instrument is switched off and the separate power supply unit is removed.

Sanitization procedure: Turn off instrument power and remove the separate power supply unit

Measurement - SRAM

The main board of the R&S FSH3 Spectrum Analyzer has one 2 Mbyte SRAM memory device which contains intermediate measurement data.

A second 256 kbyte SRAM memory device on the main board contains the measurement control data.

The SRAM loses its memory as soon as the instrument is switched off and the separate power supply unit is removed.

Sanitization procedure: Turn off instrument power and remove the separate power supply unit

4.2 Non-Volatile Memory

The R&S FSH3 contains various non-volatile memories. Out of these, the SRAM and flash contain user data. Both can be sanitized via master preset procedure.

All non-volatile memories of the R&S FSH3 are not a security concern.

EEPROM

The front-end board of the R&S FSH3 Spectrum Analyzer has one EEPROM device with a size of 1 kbyte up to 2 kbyte. The EEPROM contains information related to the installed hardware, such as board serial number, product options and calibration correction data. The EEPROM does not hold user data nor can the user access the EEPROM storage.

Sanitization procedure: None required (no user data)

SRAM

The main board of the R&S FSH3 Spectrum Analyzer has one SRAM memory device which holds 1 Mbyte or 8 Mbyte (depending on the spectrum analyzer model). This SRAM device contains the active instrument and measurement setup and the current measurement data. The SRAM can contain user data and is backed-up by the battery of the Spectrum Analyzer. Therefore, the SRAM does not lose its information when power is removed and must be cleared before leaving the secured area.

Sanitization procedure: Master preset procedure (see step 1)

Flash

The main board of the R&S FSH3 Spectrum Analyzer has one flash device. This device holds 4 Mbyte or 8 Mbyte (depending on the spectrum analyzer model) and can contain user data.

The flash contains the following information:

- Instrument firmware
- Factory default settings (display type and contrast, installed options, baud rate, language, length unit etc.)
- User general settings (display type and contrast, installed options, baud rate, language, length unit etc.)
- User data (cable models, transducers, limit lines, channel tables, user standards)

- User calibration data (DTF calibration data, tracking generator calibration data, etc.)
- Saved user data sets

The flash memory does not lose its memory when power is removed. Therefore, the memory device must be cleared before leaving the secured area.

Sanitization procedure: Master preset procedure (see step 1)



The instrument firmware and factory default settings section of the flash memory will not be erased by the master preset procedure. The instrument firmware and factory default settings memory section does not hold any user data.

5 Instrument Declassification

Before you can remove the Spectrum Analyzer from a secured area (for example to perform service or calibration), all classified user data needs to be removed. You can declassify the Spectrum Analyzer as follows:

- 1. For the sanitization of the non-volatile memory, perform the following master preset procedure:
 - a) Remove user settings by restoring the factory defaults via SETUP and GENERAL (F1 softkey). Then select FACTORY and confirm with YES.
 - b) Clear any user data, user calibration data and stored instrument settings by pressing the PRESET button for 5 seconds (with the instrument switched on). Confirm the master preset with YES by pressing the softkeys "F4" or "F5".

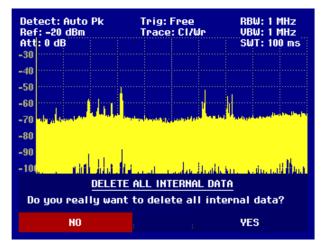


Figure 5-1: Memory clearing procedure

Turn off the instrument and remove the power supply unit. This will sanitize the volatile memory.

Following these steps removes all user data from the Spectrum Analyzer. The Spectrum Analyzer can now leave the secured area.

These declassification procedures meet the needs of customers working in secured areas.

Validity of instrument calibration after declassification

The calibration makes sure that measurements comply to government standards. Rohde & Schwarz recommends that you follow the calibration cycle suggested for your instrument.

The EEPROM is the only memory type used to hold permanent adjustment values required to maintain the validity of the R&S FSH3's calibration. Therefore, performing the memory clearing procedures described above does not affect the validity of the instrument's calibration.

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